


# FORM LM-2 LABOR ORGANIZATION ANNUAL REPORT

**MUST BE USED BY LABOR ORGANIZATIONS WITH \$200,000 OR MORE IN TOTAL ANNUAL RECEIPTS AND LABOR ORGANIZATIONS IN TRUSTEESHIP**

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

<p>For Official Use Only</p>  <p><b>E</b></p>	<p>1. FILE NUMBER</p> <p style="text-align: center; border: 1px solid black; padding: 5px;">5 2 9 - 4 1 3</p>	<p>2. PERIOD COVERED</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="text-align: center;">MO</td> <td style="text-align: center;">DAY</td> <td style="text-align: center;">YEAR</td> </tr> <tr> <td style="border: 1px solid black; text-align: center;">0 1</td> <td style="border: 1px solid black; text-align: center;">0 1</td> <td style="border: 1px solid black; text-align: center;">2 0 0 1</td> </tr> <tr> <td style="text-align: center;">Through</td> <td style="border: 1px solid black; text-align: center;">1 2</td> <td style="border: 1px solid black; text-align: center;">3 1 2 0 0 1</td> </tr> </table>	MO	DAY	YEAR	0 1	0 1	2 0 0 1	Through	1 2	3 1 2 0 0 1	<p>3. (a) AMENDED — If this is an amended report correcting a previously filed report, check here: <input type="checkbox"/></p> <p>(b) TERMINAL — If your organization ceased to exist and this is its terminal report, see Section XII of the instructions and check here: <input type="checkbox"/></p> <p>(c) SUBSIDIARY — If this is a report for a subsidiary organization of your union as defined in Section X of the instructions, check here: <input type="checkbox"/></p>
MO	DAY	YEAR										
0 1	0 1	2 0 0 1										
Through	1 2	3 1 2 0 0 1										
<p>4. AFFILIATION OR ORGANIZATION NAME</p> <p style="text-align: center; border: 1px solid black; padding: 5px;">GRADUATE EMPLOYEES &amp; STUDENTS ORG</p>		<p>8. MAILING ADDRESS</p> <p>First Name</p> <p style="border: 1px solid black; padding: 2px;">M A R I S</p> <p>Last Name</p> <p style="border: 1px solid black; padding: 2px;">Z I V A R T S</p> <p>P.O. Box • Building and Room Number (if any)</p> <p style="border: 1px solid black; height: 20px;"></p> <p>Number and Street</p> <p style="border: 1px solid black; padding: 2px;">4 2 5 C O L L E G E S T</p> <p>City</p> <p style="border: 1px solid black; padding: 2px;">N E W H A V E N</p> <p>State      ZIP Code + 4</p> <p style="border: 1px solid black; padding: 2px;">C T      0 6 5 1 1 - <span style="border: 1px solid black; padding: 2px 10px;"></span></p>										
<p>5. DESIGNATION (Local, Lodge, etc.)</p> <p style="border: 1px solid black; height: 20px;"></p>		<p>6. DESIGNATION NUMBER</p> <p style="border: 1px solid black; height: 20px;"></p>										
<p>7. UNIT NAME (if any)</p> <p style="border: 1px solid black; height: 20px;"></p>		<p>9. Are your organization's records kept at its mailing address? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>(If "No," provide address in Item 75.)</p>										
<p>75. ADDITIONAL INFORMATION</p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:10%; border: 1px solid black; padding: 5px;">Item Number</td> <td style="border: 1px solid black; height: 100px;"></td> </tr> </table>				Item Number								
Item Number												
<p>Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VI on penalties in the instructions.)</p>												
<p>76. SIGNED: <u>Ante Lete, Chair</u></p> <p style="text-align: center;">PRESIDENT</p> <p>(If other title, see instructions.)</p> <p style="text-align: center;">Date: <u>3/28/02</u>      Telephone Number: <u>203-772-3391</u></p>		<p>77. SIGNED: <u>Walter J. Grady</u></p> <p style="text-align: center;">TREASURER</p> <p>(If other title, see instructions.)</p> <p style="text-align: center;">Date: <u>3/28/02</u>      Telephone Number: <u>203 675 0167</u></p>										

*During the Reporting Period Did Your Organization:*

- 10. Have a "subsidiary organization" as defined in Section X of the instructions? .....  Yes  No
- 11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? .....  Yes  No
- 12. Have a political action committee (PAC) fund? .....  Yes  No
- 13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? .....  Yes  No
- 14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? .....  Yes  No
- 15. Discover any loss or shortage of funds or other property? .....  Yes  No  
*(Answer "Yes" even if there has been repayment or recovery.)*
- 16. Have any officer who was paid \$10,000 or more by your organization and also received \$10,000 or more as an officer or employee of another labor organization or of an employee benefit plan? .....  Yes  No
- 17. Liquidate or reduce any liabilities without disbursement of cash? .....  Yes  No

*(If the answer to any of the above questions is "Yes," provide details in Item 75 as explained in the instructions for each item.)*

- 18. How many members did your organization have at the end of the reporting period?
- 19. What is the date of your organization's next regular election of officers? 

MO	YEAR
1 1	2 0 0 2
- 20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? \$
- 21. What are your organization's rates of dues and fees? *(Enter a minimum and maximum if more than one rate applies for any line.)*

Rates of Dues and Fees	
(a) Regular Dues/Fees	\$ <input style="width: 100px;" type="text" value="0"/> per <u>SEMESTER</u> <i>(Month, Year, etc.)</i>
(b) Initiation Fees	\$ <input style="width: 100px;" type="text" value="0"/>
(c) Transfer Fees	\$ <input style="width: 100px;" type="text" value="0"/>
(d) Work Permits	\$ <input style="width: 100px;" type="text" value="0"/> per <u>SEMESTER</u> <i>(Month, Year, etc.)</i>

- 22. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions? .....  Yes  No  
*(If the constitution and bylaws or practices/procedures have changed, see the instructions.)*
- 23. Were any of your organization's assets pledged as security or encumbered in any other way at the end of the reporting period? .....  Yes  No
- 24. Did your organization have any contingent liabilities at the end of the reporting period? .....  Yes  No

*(If the answer to Item 23 or 24 is "Yes," provide details in Item 75.)*

**STATEMENT A - ASSETS AND LIABILITIES**

FILE NUMBER: **5 2 9 - 4 1 3**

*Complete Schedules 1 Through 15 Before Completing Statement A*

**Enter Amounts in Dollars Only -- Do Not Enter Cents**

	ASSETS		Start of Reporting Period (A)	End of Reporting Period (B)
	Item	From SCH #		
<b>ASSETS</b>	25. Cash.....		1 8 4 3 8	2 9 0 6 2
	26. Accounts Receivable.....		0	0
	27. Loans Receivable.....	1	0	0
	28. U.S. Treasury Securities.....		0	0
	29. Investments.....	2	0	0
	30. Fixed Assets.....	5	0	0
	31. Other Assets.....	3	0	0
	32. TOTAL ASSETS.....		1 8 4 3 8	2 9 0 6 2
<b>LIABILITIES</b>	LIABILITIES		Start of Reporting Period (C)	End of Reporting Period (D)
	Item	From SCH #		
	33. Accounts Payable.....		0	0
	34. Loans Payable.....	8	0	0
	35. Mortgages Payable.....		0	0
	36. Other Liabilities.....	4	0	0
37. TOTAL LIABILITIES.....		0	0	
38. NET ASSETS (Item 32 less Item 37).....		1 8 4 3 8	2 9 0 6 2	

**STATEMENT B - RECEIPTS AND DISBURSEMENTS**

FILE NUMBER: **5 2 9 - 4 1 3**

*Complete Schedules 1 Through 15 Before Completing Statement B*

**Enter Amounts in Dollars Only -- Do Not Enter Cents**

CASH RECEIPTS		From SCH #	AMOUNT	CASH DISBURSEMENTS		From SCH #	AMOUNT
Item				Item			
39. Dues.....			9 0	56. To Officers.....	9		2 1 4 0
40. Per Capita Tax.....			0	57. To Employees.....	10		4 7 3 5 9
41. Fees.....			0	58. Per Capita Tax.....			0
42. Fines.....			0	59. Fees, Fines, Assessments, etc. ....			0
43. Assessments.....			0	60. Office & Administrative Expense....	13		7 5 2 3
44. Work Permits.....			0	61. Educational & Publicity Expense...			0
45. Sale of Supplies.....			0	62. Professional Fees.....			0
46. Interest.....			1 3 3	63. Benefits.....	11		5 3 9 5
47. Dividends.....			0	64. Contributions, Gifts & Grants.....	12		0
48. Rents.....			0	65. Supplies for Resale.....			0
49. Sale of Investments & Fixed Assets.....	6		0	66. Direct Taxes.....			5 1 7 8
50. Loans Obtained.....	8		0	67. Withholding Taxes.....			1 0 7 7 7
51. Repayments of Loans Made.....	1		0	68. Purchase of Investments & Fixed Assets.....	7		0
52. On Behalf of Affiliates for Transmittal to Them.....			0	69. Loans Made.....	1		0
53. From Members for Disbursement on Their Behalf.....			0	70. Repayment of Loans Obtained.....	8		0
54. Other Receipts.....	14		9 3 5 2 2	71. To Affiliates of Funds Collected on Their Behalf.....			0
				72. On Behalf of Individual Members...			0
				73. Other Disbursements.....	15		4 7 5 1
55. TOTAL RECEIPTS.....			9 3 7 4 5	74. TOTAL DISBURSEMENTS .....			8 3 1 2 3

Enter Amounts in Dollars Only -- Do Not Enter Cents

### SCHEDULE 1 – LOANS RECEIVABLE

List below loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans to business enterprises regardless of amount. (A)	Loans Outstanding at Start of Period (B)	Loans Made During Period (C)	Repayments Received During Period		Loans Outstanding at End of Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1.					
2.					
3.					
4. Totals from additional pages (if any)					
5. Totals of loans not listed above	0	0	0	0	0
6. Totals of Lines 1 through 5	0	0	0	0	0
The totals from Line 6 are entered in..... Item 27 ..... Item 69 ..... Item 51 ..... Item 75 ..... Item 27					
			with Explanation		Column (B)

# SCHEDULE 2 - INVESTMENTS

(OTHER THAN U.S. TREASURY SECURITIES)

FILE NUMBER: 5 2 9 - 4 1 3

# SCHEDULE 3 - OTHER ASSETS

Description (A)	Amount (B)
<b>Marketable Securities</b>	
1. Total Cost	0
2. Total Book Value	0
3. List each marketable security which has a book value over \$1,000 and exceeds 20% of Line 2.	
(a) None	0
(b) _____	
(c) _____	
(d) _____	
<b>Other Investments</b>	
4. Total Cost	0
5. Total Book Value	0
6. List each other investment which has a book value over \$1,000 and exceeds 20% of Line 5. Also list each subsidiary for which separate reports are attached.	
(a) None	0
(b) _____	
(c) _____	
(d) _____	
(e) Total from additional pages (if any)	
7. Total of Lines 2 and 5	0
The total from Line 7 is entered in ..... Item 29, Column (B)	

Description (A)	Book Value (B)
1. None	0
2.	
3.	
4.	
5.	
6. Total from additional pages (if any)	
7. Total of Lines 1 through 6	0
The total from Line 7 is entered in ..... Item 31, Column (B)	

# SCHEDULE 4 - OTHER LIABILITIES

Description (A)	Amount at End of Period (B)
1. None	0
2.	
3.	
4.	
5.	
6. Total from additional pages (if any)	
7. Total of Lines 1 through 6	0
The total from Line 7 is entered in ..... Item 36, Column (D)	



# SCHEDULE 7 – PURCHASE OF INVESTMENTS AND FIXED ASSETS

FILE NUMBER: 5 2 9 - 4 1 3

Description (if land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
1. None	0	0	0
2.			
3.			
4.			
5. Totals from additional pages (if any)			
6. Totals of Lines 1 through 5	0	0	0
7. Less Reinvestments			0
8. Net Purchases			0
The total from Line 8 is entered in ..... Item 68			

# SCHEDULE 8 -- LOANS PAYABLE

Source of Loans Payable at Any Time During the Reporting Period (A)	Loans Owed at Start of Period (B)	Loans Obtained During Period (C)	Repayment Made During Period		Loans Owed at End of Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
1. None	0	0	0	0	0
2.					
3.					
4.					
5. Totals from additional pages (if any)					
6. Totals of Lines 1 through 5	0	0	0	0	0
The total from Line 6 is entered in ..... Item 34 ..... Item 50 ..... Item 70 ..... Item 75 ..... Item 34 Column (C) with Explanation Column (D)					

# SCHEDULE 9 - ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

FILE NUMBER: 5 2 9 - 4 1 3

(A) Name <small>(List all persons who held office during the reporting period even if they received no salary or other disbursements.)</small>		Status (C)*	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Disbursements for Official Business (F)	Other Disbursements (G)	Total (H)
(B) Title <small>(Enter title of officer, such as PRESIDENT or TREASURER.)</small>							
1.	WAY JOHN CHAIR	P	2 5 0 0	0	0	0	2 5 0 0
2.	LIU KEN CO-CHARI	C	0	0	0	0	0
3.	ZIVARTS MARIS SECRETARY-TREAS	C	0	0	0	0	0
4.	SETH ANITA CHAIR	N	0	0	0	0	0
5.							
6.							
7.							
8. Totals from additional pages (if any)							
9. Totals of Lines 1 through 8			2 5 0 0	0	0	0	2 5 0 0
					10. Less Deductions	3 6 0	
The total from Line 11 is entered in ..... Item 56					11. Net Disbursements	2 1 4 0	

\*Code for Status (C): past officer - P; continuing officer - C; new officer during the reporting period - N.

*(If any officer was not elected at a regular election in accordance with your organization's constitution and bylaws, explain in Item 75.)*

# SCHEDULE 10 - DISBURSEMENTS TO EMPLOYEES

FILE NUMBER: 5 2 9 - 4 1 3

(A) Name <small>(List all employees who received more than \$10,000 in total disbursements from your organization and any affiliates.)</small>	Gross Salary (before taxes and other deductions) (D)	Allowances (E)	Disbursements for Official Business (F)	Other Disbursements (G)	Total (H)
(B) Position <small>(Enter employee's job title.)</small>					
(C) Name of Affiliated Organization <small>(if applicable)</small>					
1. ARAMAYO CARLOS ORGANIZER	1 1 7 0 1	0	0	0	1 1 7 0 1
2. PIMENTEL LISABET ORGANIZER	1 1 5 5 2	0	0	0	1 1 5 5 2
3. SULKES RACHEL ORGANIZER	2 2 3 5 3	0	1 2 5	0	2 2 4 7 8
4.					
5.					
6. Totals from additional pages (if any)					
7. Totals for all employees who, during the reporting period, received \$10,000 or less in total disbursements from your organization and any affiliates	1 1 5 5 2	0	4 9 3	0	1 2 0 4 5
8. Totals of Lines 1 through 7	5 7 1 5 8	0	6 1 8	0	5 7 7 7 6
			9. Less Deductions	1 0 4 1 7	
The total from Line 10 is entered in ..... Item 57			10. Net Disbursements	4 7 3 5 9	

# SCHEDULE 11 - BENEFITS

FILE NUMBER: 5 2 9 - 4 1 3

Description (A)	To Whom Paid (B)	Amount (C)
1. MEDICAL BENEFITS	ANTHEM	4 4 1 9
2. DENTAL BENEFITS	GUARDIAN	9 7 6
3.		
4.		
5. Total from additional pages (if any)		
6. Total of Lines 1 through 5		5 3 9 5
The total from Line 6 is entered in ..... Item 63		

# SCHEDULE 12 - CONTRIBUTIONS, GIFTS & GRANTS

Description (A)	Amount (B)
1. None	0
2.	
3.	
4.	
5.	
6.	
7. Total from additional pages (if any)	
8. Total of Lines 1 through 7	0
The total from Line 8 is entered in ..... Item 64	

# SCHEDULE 13 - OFFICE & ADMINISTRATIVE EXPENSE

Description (A)	Amount (B)
1. OFFICE SUPPLIES	1 4 4
2. POSTAGE & DELIVERY	2 8 2
3. PRINTING & REPRODUCTION	1 1 1 3
4. TELEPHONE	4 5 4 9
5. WORKERS COMPENSATION	6 3 7
6. PAYROLL SERVICE	7 9 8
7. Total from additional pages (if any)	
8. Total of Lines 1 through 7	7 5 2 3
The total from Line 8 is entered in ..... Item 60	

### SCHEDULE 14 - OTHER RECEIPTS

Description (A)	Amount (B)
1. CONTRIBUTIONS	7 1 8 0
2. HERE IU SUBSIDY	7 8 2 7 7
3. PAYROLL TAX REFUNDS	2 0 6
4. BOND REFUND	2 4 6
5. PHONE REFUND	2 5 9 2
6. RETREAT REIMBURSEMENT	5 0 2 1
7.	
8.	
9.	
10.	
11.	
12.	
13.	
14.	
15.	
16. Total from additional pages (if any)	
17. Total of Lines 1 through 16	9 3 5 2 2
The total from Line 17 is entered in ..... Item 54	

### SCHEDULE 15 - OTHER DISBURSEMENTS

Description (A)	Amount (B)
1. MEETINGS	4 6 9 0
2. RESEARCH	6 1
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	
13.	
14.	
15.	
16. Total from additional pages (if any)	
17. Total of Lines 1 through 16	4 7 5 1
The total from Line 17 is entered in ..... Item 73	

ORGANIZATION NAME:

GRADUATE EMPLOYEES & STUDENTS ORG

FILE NUMBER: 5 2 9 - 4 1 3

ENDING DATE OF PERIOD COVERED:

12/31/2001

## 75. ADDITIONAL INFORMATION

Item Number

21

ACCORDING TO ARTICLE 8 OF THE BY-LAWS, DUES ARE WAIVED AT THIS TIME.